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Report on the activities of the Office of Internal Oversight Services

Report on the activities of the Office of Internal Oversight Services for the period from 1 July 2010 to 30 June 2011

Addendum

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Abbreviations and acronyms

AMISOM	African Union Mission in Somalia
BINUB	United Nations Integrated Office in Burundi
BONUCA	United Nations Peacebuilding Support Office in the Central African Republic
CTED	Counter-Terrorism Committee Executive Directorate
DESA	Department of Economic and Social Affairs
DFS	Department of Field Support
DGACM	Department for General Assembly and Conference Management
DM	Department of Management
DPA	Department of Political Affairs
DPI	Department of Public Information
DPKO	Department of Peacekeeping Operations
DSS	Department of Safety and Security
ECA	Economic Commission for Africa
ECE	Economic Commission for Europe
ECESA	Executive Committee on Economic and Social Affairs
ECLAC	Economic Commission for Latin America and the Caribbean
EMG	Environment Management Group
EOSG	Executive Office of the Secretary-General
ESCAP	Economic and Social Commission for Asia and the Pacific
ESCWA	Economic and Social Commission for Western Asia
IMD	Investment Management Division
ISDR	International Strategy for Disaster Reduction
MINURCAT	United Nations Mission in the Central African Republic and Chad
MINURSO	United Nations Mission for the Referendum in Western Sahara
MINUSTAH	United Nations Stabilization Mission in Haiti
MONUC/MONUSCO	United Nations Organization Mission in the Democratic Republic of the Congo/United Nations Organization Stabilization Mission in the Democratic Republic of the Congo
OCHA	Office for the Coordination of Humanitarian Affairs

OHCHR	Office of the United Nations High Commissioner for Human Rights
OHRLLS	Office of the High Representative for the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States
OICT	Office of Information and Communications Technology
OIOS	Office of Internal Oversight Services
OLA	Office of Legal Affairs
OOSA	Office for Outer Space Affairs
OSAA	Office of the Special Adviser on Africa
UNAMA	United Nations Assistance Mission in Afghanistan
UNAMI	United Nations Assistance Mission for Iraq
UNAMID	African Union-United Nations Hybrid Operation in Darfur
UNCC	United Nations Compensation Commission
UNCCD	United Nations Convention to Combat Desertification
UNCTAD	United Nations Conference on Trade and Development
UNDEF	United Nations Democracy Fund
UNDOF	United Nations Disengagement Observer Force
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UNFICYP	United Nations Peacekeeping Force in Cyprus
UN-Habitat	United Nations Human Settlements Programme
UNHCR	Office of the United Nations High Commissioner for Refugees
UNICEF	United Nations Children's Fund
UNICRI	United Nations Interregional Crime and Justice Research Institute
UNIDIR	United Nations Institute for Disarmament Research
UNIFIL	United Nations Interim Force in Lebanon
UNJSPF	United Nations Joint Staff Pension Fund
UNMIK	United Nations Interim Administration Mission in Kosovo
UNMIL	United Nations Mission in Liberia
UNMIS	United Nations Mission in the Sudan

UNMIT	United Nations Integrated Mission in Timor-Leste
UNMOGIP	United Nations Military Observer Group in India and Pakistan
UNOCI	United Nations Operation in Côte d'Ivoire
UNODC	United Nations Office on Drugs and Crime
UNOG	United Nations Office at Geneva
UNON	United Nations Office at Nairobi
UNOTIL	United Nations Office in Timor-Leste
UNOV	United Nations Office at Vienna
UNRCCA	United Nations Regional Centre for Preventive Diplomacy for Central Asia
UNRWA	United Nations Relief and Works Agency for Palestine Refugees in the Near East
UNSOA	United Nations Support Office for the African Union Mission in Somalia
UNTSO	United Nations Truce Supervision Organization
UNU	United Nations University

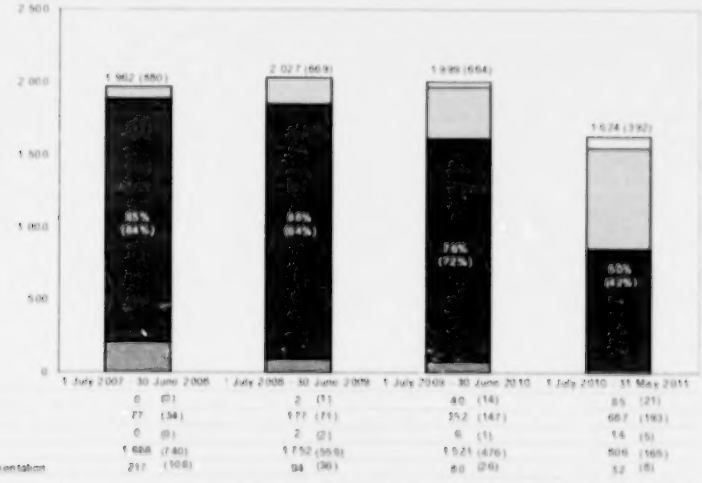
I. Introduction

1. The present addendum provides information about the status of the implementation of recommendations issued by the Office of Internal Oversight Services. The report is divided into four main sections (II-V). Section II provides an overall assessment of the implementation of recommendations. Section III provides a breakdown of open recommendations by United Nations entities. Section IV includes a list of OIOS recommendations with financial implications, relating to unnecessary or excess expenditures, losses and opportunities for future cost avoidance. Section V lists all oversight reports issued by OIOS between 1 July 2010 and 30 June 2011.

II. Overall assessment of the implementation of recommendations

2. During the period from 1 July 2010 to 31 May 2011, OIOS issued 1,624 recommendations to United Nations entities.[1] Of these, 392 (24 per cent) were deemed critical. As at 30 June 2011, programme managers had implemented 806 (50 per cent) of all the recommendations issued between 1 July 2010 and 31 May 2011 and 165 (42 per cent) of the critical recommendations issued during the same period. The figure below shows a breakdown by period of the recommendations issued between 1 July 2007 and 31 May 2011, including the implementation rate for each period.

Breakdown of status of recommendations issued from 1 July 2007 to 31 May 2011 as at 30 June 2011 and implementation rates[a]



[a] Critical recommendations and corresponding implementation rates are given in parentheses. A recommendation may be "closed without implementation" when overtaken by events or when management accepts responsibility for residual risk arising from the non-implementation of the recommendation.

[1] While the reporting period is from 1 July 2010 to 30 June 2011, owing to timing constraints, statistics on the status of recommendations include only those recommendations issued through 31 May 2011 and their status as at 30 June 2011.

III. Analysis of open recommendations

3. Table 1 provides information about the status of the 1,461 recommendations that remained open as at 30 June 2011 for each United Nations department/office. Of these, 320 (22 per cent) were past due (i.e., missed their target date) and 785 (54 per cent) were not yet due. The remaining 356 recommendations (representing 24 per cent of the total) have no set target date.

4. Data regarding the open recommendations were provided to the relevant departments and offices in order to afford them the opportunity to provide updates on implementation status for consideration in the preparation of the present addendum.

Table 1
Breakdown of open recommendations issued through 31 May 2011, as at 30 June 2011

	All open recommendations		*Breakdown by status*						*Breakdown by target date*					
			Critical			*Non-critical*			*Critical*			*Non-critical*		
	Critical	*Non-critical*	*Not started*	*In progress*	*Not accepted*[a]	*Not started*	*In progress*	*Not accepted*[a]	*Not yet due*	*Past due*	*No target date*	*Not yet due*	*Past due*	*No target date*
BINUB	3	3	—	3	—	—	3	—	2	—	1	1	—	2
CTED	1	—	—	1	—	—	—	—	1	—	—	—	—	—
DESA[b]	7	37	5	2	—	25	12	—	—	2	5	12	—	25
DFS	10	19	—	10	—	—	19	—	10	—	—	19	—	—
DGACM	—	1	—	—	—	—	1	—	—	—	—	1	—	—
DM	39	83	5	32	2	4	75	4	25	8	6	49	28	6
DPA	4	11	—	4	—	—	11	—	4	—	—	9	2	—
DPI	3	9	—	3	—	—	9	—	3	—	—	9	—	—
DPKO	25	10	—	25	—	—	10	—	24	—	1	10	—	—
DSS	4	18	—	4	—	—	15	3	1	1	2	8	—	10
ECA	5	9	—	5	—	—	9	—	3	2	—	8	1	—
ECE	1	3	—	1	—	—	3	—	—	1	—	3	—	—
ECESA	1	—	—	1	—	—	—	—	1	—	—	—	—	—
ECLAC	2	9	—	2	—	—	9	—	1	—	1	9	—	—
EOSG	11	—	—	11	—	—	—	—	3	—	8	—	—	—
ESCAP	2	5	—	2	—	—	5	—	2	—	—	5	—	—
ESCWA	—	3	—	—	—	—	3	—	—	—	—	1	—	2
Ethics Office	—	2	—	—	—	—	2	—	—	—	—	2	—	—
International Criminal Tribunal for Rwanda	4	9	—	4	—	—	9	—	4	—	—	9	—	—
International Tribunal for the Former Yugoslavia	4	11	—	4	—	1	10	—	3	1	—	8	2	1
ISDR	3	2	—	3	—	—	2	—	3	—	—	2	—	—
MINUSTAH	11	20	—	10	1	—	20	—	7	1	3	16	—	4
MONUC/MONUSCO	8	17	—	8	—	—	17	—	8	—	—	16	1	—
OCHA	14	17	—	14	—	—	17	—	13	1	—	16	1	—

	All open recommendations		Breakdown by status						Breakdown by target date					
			Critical			Non-critical			Critical			Non-critical		
	Critical	Non-critical	Not started	In progress	Not accepted[a]	Not started	In progress	Not accepted[a]	Not yet due	Past due	No target date	Not yet due	Past due	No target date
OHCHR	11	12	—	11	—	—	12	—	10	1	—	10	2	—
OHRLLS	1	4	—	1	—	—	4	—	1	—	—	4	—	—
OICT	5	7	—	5	—	—	7	—	3	—	2	5	1	1
OLA	—	3	—	—	—	—	3	—	—	—	—	—	—	3
OOSA	4	3	—	4	—	1	2	—	4	—	—	3	—	—
OSAA	3	11	—	3	—	—	11	—	—	—	3	—	1	10
UNAMA[c]	3	16	—	2	1	—	16	—	3	—	—	16	—	—
UNAMI[d]	11	21	1	7	3	—	17	4	3	3	5	6	4	11
UNAMID	28	41	3	24	1	5	36	—	5	9	14	15	5	21
UNCCD	4	13	—	4	—	—	13	—	3	—	1	8	3	2
UNCTAD	8	18	—	8	—	—	18	—	7	1	—	18	—	—
UNDEF	2	3	—	2	—	—	3	—	2	—	—	3	—	—
UNDOF	1	2	—	1	—	—	2	—	—	1	—	—	2	—
UNDP	—	2	—	—	—	—	2	—	—	—	—	2	—	—
UNEP	7	27	—	7	—	—	26	1	2	5	—	15	9	3
UNEP-EMG	1	—	—	1	—	—	—	—	—	—	1	—	—	—
UNFCCC	13	17	—	13	—	—	17	—	13	—	—	17	—	—
UNFICYP	1	1	—	1	—	—	1	—	—	1	—	—	1	—
UNHCR	119	159	15	104	—	27	130	2	8	59	52	11	77	71
UNICRI	5	3	—	5	—	—	3	—	—	—	5	—	—	3
UNIDIR	—	6	—	—	—	—	6	—	—	—	—	6	—	—
UNIFIL	4	15	—	4	—	—	15	—	2	1	1	15	—	—
UNJSPF	3	35	—	3	—	5	29	1	—	3	—	7	27	1
UNJSPF-IMD	7	24	2	5	—	5	19	—	4	3	—	15	7	2
UNMIL	17	38	—	17	—	6	32	—	3	3	11	11	11	16
UNMIS	23	55	5	18	—	5	50	—	10	3	10	43	2	10
UNMIT	7	11	—	7	—	—	11	—	7	—	—	11	—	—
UNMOGIP	2	3	—	2	—	—	3	—	2	—	—	2	1	—
UNOCI	9	15	—	9	—	7	8	—	8	—	1	10	1	4

	All open recommendations		Breakdown by status						Breakdown by target date					
			Critical			Non-critical			Critical			Non-critical		
	Critical	Non-critical	Not started	In progress	Not accepted[a]	Not started	In progress	Not accepted[a]	Not yet due	Past due	No target date	Not yet due	Past due	No target date
UNODC	6	13	—	6	—	—	13	—	2	3	1	7	2	4
UNOG	1	5	—	1	—	—	5	—	—	1	—	5	—	—
UNON	12	36	—	12	—	—	36	—	9	1	2	26	6	4
UNOV	—	1	—	—	—	—	1	—	—	—	—	—	—	1
UNRWA	4	4	—	4	—	—	4	—	3	—	1	4	—	—
UNSOA	1	—	—	1	—	—	—	—	1	—	—	—	—	—
UNU	4	1	—	4	—	—	1	—	4	—	—	1	—	—
Total	**505**	**956**	**36**	**461**	**8**	**91**	**850**	**15**	**248**	**120**	**137**	**537**	**200**	**219**

[a] Recommendations that are "not accepted" include recommendations with a "declined" or "no response" status.
[b] Open recommendations include two critical recommendations and 17 non-critical recommendations issued in May 2011.
[c] Open recommendations include seven non-critical recommendations issued in May 2011.
[d] Open recommendations include three critical recommendations and seven non-critical recommendations issued in May 2011.

IV. Financial implications of recommendations of the Office of Internal Oversight Services

5. Table 2 provides a summary of OIOS recommendations with financial implications. OIOS has simplified the categorization of financial implications arising from oversight results, as follows:

(a) "Unnecessary or excess expenditures, or losses" caused by mismanagement, fraud, waste, theft, etc.;

(b) "Opportunities for future cost avoidance" as a result of process improvements identified by OIOS.

6. During the reporting period, OIOS identified $19.7 million in estimated unnecessary or excess expenditures and opportunities for future cost avoidance. The amounts recovered arising from oversight results during the period totalled $2.6 million.

Table 2

Financial implications of OIOS recommendations, 1 July 2010 to 30 June 2011

Unnecessary or excess expenditure, or losses

(United States dollars)

Entity	*Assignment number*	*Description*	*Estimated amount*	*Recovered amount*
DFS	0491/09	Possible misconduct by a UNAMID staff member	580	—
DPA	0247/10	Possible misconduct by a BONUCA staff member — misappropriated funds	—	3 262
UNDP	0246/10	Possible misconduct by a BONUCA staff member — entitlement fraud and misappropriation of funds	978	—
UNEP	0469/08	Possible misconduct by a UNEP staff member — entitlement fraud and misuse of assets	5 325	—
UNAMI	AP2010/812/01	Cost of air services provided to UNAMI staff and to other entities not entitled to them	247 570	241 312
UNAMID	AP2009/634/07	Overpayment to a vendor for fuel consumption	2 376 409	2 376 409
UNMIS	AP2010/632/08	Error in staff allowance	796 000	—
Total			**3 426 862**	**2 620 983**

Opportunities for future cost avoidance

(United States dollars)

Entity	*Assignment number*	*Description*	*Estimated amount*
UNAMID	AP2010/634/06	Freight forwarding	4 000 000
UNAMID	AP2009/634/09	Management of contingent-owned equipment	10 123 573
UNAMID	AP2009/634/07	Fuel supply	2 000 000
UNIFIL	AP2010/672/01	Lump-sum entitlements	33 733
UNMOGIP	AP2010/600/02	Electricity supply	30 000
UNMOGIP	AP2010/600/02	Payment of assignment grants and post adjustments	50 000
UNOCI	AP2009/640/06	Contract management	48 000
Total			**16 285 306**

V. Reports issued during the reporting period

7. During the 12-month period from 1 July 2010 to 30 June 2011, OIOS issued 323 oversight reports to programme managers, including 7 reports to the General Assembly and 65 closure reports. Table 3 provides a list of all the reports issued during the reporting period.

8. Pursuant to paragraph 1 (c) of General Assembly resolution 59/272, Member States have access to OIOS reports upon request. As from January 2012, OIOS intends to make all reports publicly available on its website; in the meantime, the full titles of all reports continue to be available at http://www.un.org/Depts/oios.

Table 3
Reports issued during the period from 1 July 2010 to 30 June 2011

A. Reports to the General Assembly

Symbol	*Date*	*Subject*
A/65/266	9 August 2010	Thematic evaluation of gender mainstreaming in the United Nations Secretariat
A/65/752	23 February 2011	Programme evaluation of the performance and the achievement of results by UNMIS. Although the original objective of the Comprehensive Peace Agreement, to make unity attractive, has not been met, UNMIS support for the implementation of the Agreement has contributed to the holding of the ceasefire
A/65/762	28 February 2011	Thematic evaluation of cooperation between the Department of Peacekeeping Operations/Department of Field Support and regional organizations. Cooperation with regional organizations in peacekeeping has contributed to the overall capacity of the United Nations to deploy and sustain peacekeeping missions, although organizational differences between the United Nations and partners have created multiple challenges in operational cooperation
A/65/765	1 March 2011	Preliminary report on the implementation of the pilot project designated by the General Assembly in resolution 63/287
E/AC.51/2011/3	15 March 2011	Triennial review of the implementation of recommendations made by the Committee for Programme and Coordination at its forty-eighth session on the in-depth evaluation of political affairs: field special political missions led by the Department of Political Affairs but supported by the Department of Field Support
A/66/71	28 March 2011	Strengthening the role of evaluation and the application of evaluation findings on programme design, delivery and policy directives
E/AC.51/2011/2	29 March 2011	Programme evaluation of the Department of Economic and Social Affairs

B. Reports to programme managers

Entity	*Assignment number*	*Subject*
CTED	AP2010/570/01	Audit of the Counter-Terrorism Committee Executive Directorate. Counter-Terrorism Committee Executive Directorate's internal controls were generally in place and implemented as intended
DESA	AN2009/540/03	Audit of the management by the Department of Economic and Social Affairs of the advisory services and operational support for the development and management of human resources and capacity-building for the international cooperation project office in Rome. A workflow analysis of the identification and selection process for the recruitment of Associate Experts and Junior Professional Officers could significantly reduce the recruitment time
	AN2010/512/01	Audit of the governance and monitoring of the delegation of authority for the administration of the Associate Experts/Junior Professional Officers Programme. The delegation of authority granted to the Department of Economic and Social Affairs for the appointment of Associate Experts and Junior Professional Officers under the 200 series of staff rules had not been updated since 1979
	IED-11-002	Report of OIOS on the evaluation of the Division for Public Administration and Development Management
	IED-11-003	Report of OIOS on the evaluation of the Office of Economic and Social Council Support and Coordination
	IED-11-004	Report of OIOS on the United Nations Statistics Division
	IED-11-005	Report of OIOS on the evaluation of the Population Division
	IED-11-006	Report of OIOS on the evaluation of the Financing for Development Office
	IED-11-007	Report of OIOS on the United Nations Forum on Forests secretariat
	IED-11-008	Report of OIOS on the evaluation of the Division for Sustainable Development
	IED-11-009	Report of OIOS on the evaluation of the Development Policy and Analysis Division
	IED-11-010	Report of OIOS on the evaluation of the Division for Social Policy and Development
	IED-11-011	Report of OIOS on the evaluation of the Office of the Special Adviser on Gender Issues and Advancement of Women and the Division for the Advancement of Women
DFS	0936/06	Contingent report on the death of a peacekeeper in UNMIL

Entity	*Assignment number*	*Subject*
	0329/07	Contingent report on sexual exploitation and abuse by peacekeepers at the former MONUC
	0358/07, 0186/08	Contingent report on sexual abuse of a minor by a peacekeeper at the former MONUC
	0596/07	Investigation report on conflict of interest and irregular recruitment process by a staff member at UNOCI
	0439/08	Contingent report on sexual exploitation and abuse by peacekeepers in the former MONUC
	0553/08	Investigation report on sexual exploitation and abuse by a military observer in UNOCI
	0597/08	Investigation report on reported sexual exploitation and abuse implicating a peacekeeper in the former MONUC
	0613/08	Investigation report on misuse of information and communications technology resources by a staff member at UNMIL
	0028/09	Contingent report on attempted sexual abuse by a peacekeeper at the former MONUC
	0032/09	Investigation report on sexual exploitation by a military observer at the former MONUC
	0210/09	Investigation report on procurement fraud by a staff member at MINURSO
	0404/09	Investigation report on sexual exploitation and abuse by a formed police unit officer at the former MONUC
	0410/09	Contingent report on sexual abuse by peacekeepers at the former MONUC
	0470/09	Contingent report on sexual abuse by peacekeepers in UNMIL
	0491/09	Investigation report of fraud by a staff member in UNAMID
	0497/09	Investigation report on sexual abuse implicating a United Nations Volunteer at the former MONUC
	0572/09	Investigation report on fraud by a staff member at UNOCI
	0588/09	Contingent report on sexual exploitation and abuse by peacekeepers in UNOCI
	0016/10	Contingent report on the sexual exploitation and abuse of a minor by a peacekeeper at UNMIL [corrigendum issued on 27 August 2010]
	0033/10	Contingent report on procurement irregularities by a peacekeeper at UNFICYP

Entity	*Assignment number*	*Subject*
	0110/10, 0113/10	Contingent report on sexual exploitation and abuse by a peacekeeper at the former MONUC
	0126/10	Investigation report on sexual exploitation and misuse of office by a staff member at UNMIL
	0149/10	Investigation report on fuel fraud in the former MONUC
	0158/10	Contingent report on sexual exploitation and abuse implicating peacekeepers in the former MONUC
	0173/10	Investigation report on procurement fraud by a staff member at MINURSO
	0174/10	Investigation report on inappropriate conduct by a staff member at MINURSO
	0177/10	Investigation report on sexual exploitation and abuse by a United Nations police officer at MINUSTAH
	0182/10	Contingent report on the sexual abuse of a minor by a peacekeeper formerly deployed with UNOCI
	0216/10	Contingent report on fraud by peacekeepers at UNOCI
	0219/10	Investigation report on sexual exploitation and abuse by a United Nations police officer at the former MONUC
	0246/10	Investigation report on fraud, misappropriation of funds and other irregularities by a staff member at the former BONUCA
	0247/10	Investigation report on misappropriation of funds and other irregularities by a staff member at BONUCA
	0255/10	Investigation report on a homicide allegedly committed by a staff member at MINUSTAH
	0269/10	Contingent report on sexual exploitation by a military observer at MONUSCO
	0270/10	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
	0296/10	Investigation report on possible misconduct by a United Nations police officer in UNMIS
	0300/10	Investigation report on fraud by a staff member in UNMIS
	0313/10, 0317/10	Contingent report on attempted fraud by peacekeepers at UNOCI
	0314/10, 0316/10	Contingent report on claims of fraud by peacekeepers at UNOCI
	0337/10	Contingent report on sexual abuse by a peacekeeper in MONUSCO

Entity	*Assignment number*	*Subject*
	0385/10	Contingent report on the accidental discharge of a rocket by a peacekeeper at UNIFIL
	0421/10	Investigation report on attempted entitlement fraud by a staff member in UNAMID
	0505/10	Contingent report on misconduct by peacekeepers at UNOCI
	0007/11	Contingent report on sexual assault implicating a peacekeeper in UNMIS
	0014/11	Contingent report on sexual exploitation and fuel fraud by peacekeepers at MINUSTAH
	0102/11	Contingent report on the sexual abuse of a minor by a peacekeeper at UNMIL
	0239/11	Investigation report on possible misconduct by a United Nations police officer in UNMIS
	0290/10	Investigation report on possible misconduct by a staff member at UNMIS
	AP2010/615/05	Audit of the planning and budget formulation procedures and processes of the support account in the Department of Peacekeeping Operations and the Department of Field Support
	AT2009/800/03	Audit of the services provided by the United Nations International Computing Centre to the United Nations Secretariat. The Department of Field Support should implement additional controls to ensure that the performance and charges of the information and communications technology services provided by the International Computing Centre are adequately monitored, measured and accounted for
	AT2010/615/01	Strategic planning for information and communications technology requirements in the Information and Communications Technology Division/Department of Field Support. Additional controls, including better coordination between the Information and Communications Technology Division and the Office of Information and Communications Technology, are needed to ensure that the Department of Field Support achieves its strategic objectives for information management
DGACM	AH2009/550/01	Audit of the support provided to the Office of the President of the General Assembly. Current arrangements for support for the Office of the President of the General Assembly need to be clarified, including the source of funding
DM	0310/09	Investigation report on misuse of office by a staff member of UNCTAD
	0344/10	Investigation report on sexual exploitation and abuse by staff members of a United Nations vendor at MONUSCO

Entity	*Assignment number*	*Subject*
	0456/10	Investigation report on mismanagement and procurement irregularities by a former staff member at UNICRI
	0268/11	Investigation report on false representation and unauthorized operation of an aircraft by a United Nations vendor
	AC2009/514/05	Security provisions applied to staff, site and assets during the execution of the capital master plan. There is a need for the Department of Safety and Security to finalize its policy and procedures for allowing contractor personnel access to United Nations premises, and ensure that they are applied consistently
	AC2010/514/01	Safety provisions for United Nations staff and contractors, including asbestos removal, applied during the execution of the capital master plan. The safety provisions for construction and asbestos abatement for the capital master plan have generally been appropriately organized and applied
	AG2010/510/01	Management review of the Advisory Committee on Administrative and Budgetary Questions secretariat
	AG2010/510/03	Audit of human resources management in the Office of the Enterprise Resource Planning Project Director. Serious breaches of human resources, financial and procurement regulations, rules and procedures posed reputation risk for the project
	AH2010/512/01	Audit of the recruitment process for senior-level positions in the United Nations Secretariat. The Office of Human Resources Management should enhance its monitoring role to ensure compliance with recruitment procedures and to identify areas for improving the timeliness of filling senior-level positions
	AT2009/510/02	Audit of the enterprise resource planning project at the United Nations Secretariat. Additional controls are needed to ensure that the enterprise resource planning project is not adversely affected by unmitigated risks relating to the governance structure, project management, system integration and fund monitoring
	AT2010/512/01	The "Inspira" talent management project at the United Nations Secretariat. To fully realize the benefits of the "Inspira" project, internal controls in project management need to be strengthened
	IED-10-002	Inspection of programme-level monitoring and evaluation of the Department of Management
	IED-10-009	Report of OIOS on the Office of Programme Planning, Budget and Accounts. The Office of Programme Planning, Budget and Accounts is focused on its mandate and performing well operationally; however, client communication is unsatisfactory, and the budget process challenges its overall effectiveness

Entity	*Assignment number*	*Subject*
	IED-10-011	Report of OIOS on the Office of the Under-Secretary-General, Department of Management. Greater effort in proactive leadership by the Office of the Under-Secretary-General, Department of Management, is needed if reform of United Nations management practices, processes and procedures is to be successful
DPA	AP2010/600/04	Audit of BINUB. Internal controls were generally in place and operating effectively, but controls in certain areas need to be strengthened
DPI	AN2010/580/01	Audit of the support services provided to the public information programme by the Department of Public Information Executive Office. The lack of a clear client support strategy resulted in a diminished role for the Department of Public Information Executive Office and widened the expectation gap with the substantive divisions
DPKO	AP2009/600/03	Audit of integrated operational teams in the Department of Peacekeeping Operations. The effectiveness of these teams should be improved by deploying their resources flexibly during periods of lower tempo and strengthening their links with specialist units
	AP2010/560/01	Audit of the Security Council Affairs Division in the Department of Political Affairs. Internal controls were generally in place. Further efficiency could be gained by improving the procedures for (a) documenting Security Council practices, (b) selecting candidates to serve on the Panels of Experts, (c) recruiting experts and (d) organizing meetings of the subsidiary bodies of the Security Council
DPKO/DFS	IED-10-001	Inspection of monitoring and evaluation at the Department of Peacekeeping Operations and the Department of Field Support. Department of Peacekeeping Operations/Department of Field Support reporting on programme performance is highly reliable, but the Departments need to take targeted action to cope more effectively with complex monitoring and reporting environments
DSS	0473/08	Investigation report on misuse of information and communications technology resources by a staff member in the Department of Safety and Security
	0390/10, 0496/09	Investigation report on misconduct by a staff member of the Department of Safety and Security
	0175/10, 0268/10	Investigation report on abusive use of force by a security officer at the United Nations Office at Geneva
	IED-11-001	Inspection of programme-level monitoring and evaluation of the Department of Safety and Security. While the Department of Safety and Security contains the building blocks of a monitoring and evaluation system, with no evaluation unit, no resources devoted, and only a draft evaluation policy, the Department of Safety and Security has yet to institutionalize the evaluation function

Entity	*Assignment number*	*Subject*
ECA	AN2010/710/02	Audit of financial management in ECA. ECA generally complied with the Financial Regulations and Rules of the United Nations
	AN2010/710/03	Audit of the ECA subregional office in Lusaka. ECA needs to further engage its Subregional Office for Southern Africa in the implementation of its programme of work to achieve synergy and complementarity in programme delivery within the subregion
ECLAC	AN2010/730/01	Comprehensive audit of ECLAC. The overall effectiveness of ECLAC in the region needs to be strengthened by establishing the necessary capacity for executive direction and management, and by more active participation in the emergency response coordination mechanism
EOSG	0009/10, 0401/10, 0402/10, 0403/10	Investigation reports on possible retaliation by a staff member at UNCTAD
EOSG/DM	IED-COM-10-002	Programme performance documentation status. Key Integrated Monitoring and Documentation Information System records as of 16 August 2010
	IED-COM-11-001	Programme performance documentation status. Key Integrated Monitoring and Documentation Information System records as of 1 February 2011
Ethics Office	AH2010/515/01	Audit of the management of the Financial Disclosure Programme, including information security aspects, by the Ethics Office. The Financial Disclosure Programme is adequately supported by senior management, but key aspects need to be further developed for the programme to be fully effective
International Computing Centre	AT2009/800/03	Audit of data processing services provided by the International Computing Centre to the United Nations Secretariat. The Office of Information and Communications Technology needs to simplify the contractual arrangements with the International Computing Centre and improve its financial monitoring of projects executed by the Centre
International Criminal Tribunal for Rwanda	0220/10	Investigation report on misconduct by a staff member at the International Criminal Tribunal for Rwanda
	AA2010/260/01	Audit of the completion strategy and downsizing in the International Criminal Tribunal for Rwanda. Some aspects of the downsizing criteria need to be clarified and consistently applied
	AT2010/260/01	Audit of information and communications technology governance and security management in the International Criminal Tribunal for Rwanda. The International Criminal Tribunal for Rwanda should implement additional controls to improve the delivery of services and the security of information and assets

Entity	*Assignment number*	*Subject*
International Tribunal for the Former Yugoslavia	AA2010/270/01	Audit of the management of leased cells and services for the Detention Unit of the International Tribunal for the Former Yugoslavia. The agreement for the lease of detention facilities and service needs to be reviewed by the Headquarters and local committees on contracts, and expenditures for external medical services need to be adequately documented
	AA2010/270/04	Audit of the implementation of the downsizing programme in the International Tribunal for the Former Yugoslavia. Although agreed criteria have been established for downsizing, staffing cuts were not implemented as planned owing to the variability of the trial schedule
MINURCAT	AP2010/636/01	Audit of safety and security in MINURCAT
	AP2010/636/03	Audit of air operations and operational safety in MINURCAT. Owing to operational constraints, as the Mission draws down and goes into liquidation, there is a need to reassess its air operational capability to ensure the provision of safe and reliable air services
	AP2010/636/06	Audit of contingent-owned equipment in MINURCAT. Management oversight of contingent-owned equipment was not adequate
	AP2010/636/07	Audit of outstanding purchase orders in MINURCAT. There were no reliable records of outstanding purchase orders
	AP2010/636/08	Audit of liquidation planning in MINURCAT. Progress was made in the drawdown and liquidation planning of MINURCAT, but a number of actions have yet to be completed
	AP2010/636/09	Audit of the disposal of assets during MINURCAT liquidation. Asset reconciliation and movement remain incomplete as at the close of the Mission
	AP2010/636/10	Audit of financial aspects of MINURCAT liquidation. Delays were noted in initiating critical tasks in the financial liquidation process
	AP2010/636/11	Audit of the trust fund for the support of the activities of MINURCAT. While test checks of transactions showed that the expenditures incurred were for activities pertaining to the trust fund, there were significant internal control weaknesses, including non-compliance with regulations, rules and donor agreements
MINURSO	AP2010/600/01	Audit of MINURSO. While internal controls were generally in place, improvements in both substantive and administrative areas of operations are needed
MINUSTAH	AP2010/510/01	Audit of special measures authorized for crisis response in Haiti. Overall, the special measures were effectively managed to meet the operational requirements of MINUSTAH
	AP2010/683/01	Audit of staff safety and security in MINUSTAH

Entity	*Assignment number*	*Subject*
	AP2010/683/06	Audit of the implementation of the special measures authorized for crisis response in Haiti. In general, internal controls were in place and implemented as intended
	AP2010/683/07	Audit of the public information function in MINUSTAH. In developing its public information strategy, MINUSTAH needs to ensure that adequate monitoring and evaluation mechanisms are in place
	AP2010/683/08	Audit of property management in MINUSTAH. A strategy is needed to ensure that non-expendable and expendable property records are up to date, accurate and complete
	AT2009/683/01	Audit of information and communications technology governance and security management in MINUSTAH. Additional controls must be implemented to ensure adequate support for business continuity and disaster recovery, and to mitigate risks to information security
MONUSCO	AP2009/620/04	Audit of the recruitment of national staff in MONUSCO. Owing to the lack of documentation to support the recruitment of national staff, OIOS was unable to establish whether the process was fair and transparent
	AP2009/620/06	Audit of vacancy management in MONUSCO. The overall vacancy rate of the Mission improved, but the vacancy rate for key positions remained high and required closer monitoring
	AP2010/620/01	Audit of movement control operations in MONUSCO. While Movement Control has developed procedures to manage its operations, it needs to enhance the cost-effectiveness of personnel and cargo movements and safety measures at MONUSCO air terminals
	AP2010/620/02	Audit of the Conduct and Discipline Unit in MONUSCO. MONUSCO made commendable efforts to improve conduct and discipline activities. Weaknesses remain in the areas of staff outreach and training, investigative capacity and the reporting of cases handled by the military
	AP2010/620/03	Audit of contract management in MONUSCO. Internal controls over the contract management process were not always effective in ensuring that the terms and conditions of contracts are complied with, contract extensions are done in a timely manner, and the interests of the United Nations are adequately safeguarded
	AP2010/620/04	Audit of aviation safety in MONUSCO. The aviation safety measures implemented by the Mission need to be improved to further mitigate air safety risks
	AP2010/620/07	Audit of non-expendable property in MONUSCO. Physical verification of non-expendable property in MONUSCO was conducted regularly, but the Mission did not follow up and resolve discrepancies in a timely manner

Entity	*Assignment number*	*Subject*
	AP2010/620/08	Audit of within-mission travel in MONUSCO. Internal controls were generally in place and implemented effectively. Weakness remained in monitoring expenditures against budget provisions, planning for trips undertaken by truck drivers and the review of claims of staff on temporary assignment
	AP2010/620/09	Audit of the vendor payment process in MONUSCO. Monitoring controls over the vendor payment process should be strengthened to mitigate risks of financial loss
OCHA	AN2010/590/02	Audit of the policy and guidance management within the Office for the Coordination of Humanitarian Affairs. Although significant progress has been made, further attention is needed to consolidate support for policy and guidance management, including for policy setting and prioritization, as well as implementation and staff training
	AT2010/590/01	Information and communications technology governance in the Office for the Coordination of Humanitarian Affairs. The Office for the Coordination of Humanitarian Affairs needs to further strengthen its information and communications technology governance model with additional controls to ensure the standardization of systems, security and the efficient use of resources
OHCHR	AE2010/331/02	Audit of the OHCHR Field Operations and Technical Cooperation Division. There are opportunities for the Division to improve the clarity of roles and responsibilities, the efficiency of workflow processes and the effectiveness of coordination arrangements
OIOS	0217/10	Investigation report on sexual harassment and abuse of authority by a staff member at United Nations Headquarters
OOSA	AE2010/325/01	Audit of programme management and support for the Office for Outer Space Affairs. The Office for Outer Space Affairs arrangements to support programme delivery could be improved by clarifying its status within the United Nations system, ensuring that its terms of reference address all activities that it has been requested to carry out, and reviewing its organizational structure
Special Court for Sierra Leone	AA2010/290/01	Audit of the Special Court for Sierra Leone. Controls over the implementation of the downsizing and completion strategy were generally adequate, but additional measures are needed
UNAMA	AP2009/630/04	Recruitment of national staff in UNAMA. Further efforts were needed to improve the verification of academic qualifications, the evaluation of candidates and the documentation of the recruitment process
	AP2010/630/05	Audit of financial management in UNAMA. The financial controls implemented by the Mission were generally adequate, but there were opportunities for further improvement

Entity	*Assignment number*	*Subject*
	AP2010/630/06	Audit of the disposal of assets in UNAMA. Established internal controls over the disposal of assets were not always complied with
	AP2010/630/07	Audit of the common facilities in UNAMA. Controls need strengthening in the recording of assets and cost-sharing
UNAMI	AP2009/812/01	Audit of the electoral support programme in UNAMI. While the operational constraints and insecurity in Iraq were acknowledged, the UNAMI Electoral Assistance Office needs to improve its work planning, implement a more effective recommendation monitoring system and ensure that relevant documents are accessible
	AP2010/812/01	Audit of air operations in UNAMI. Some key controls over air operations were not complied with, resulting in increased aviation safety risk
	AP2010/812/02	Audit of the Conduct and Discipline Unit in UNAMI. Additional efforts are required to ensure compliance with United Nations policies and procedures
	AP2010/812/03	Audit of the management and administration of major contracts in UNAMI. Internal controls over the procurement of major contracts were not always in place and operating effectively
	AP2010/812/04	Audit of business continuity management in UNAMI. The Mission needs to develop a comprehensive business continuity and emergency response plan
UNAMID	AP2009/634/07	Fuel management in UNAMID. The Mission had established proper internal controls over fuel management, but these controls were not implemented as intended
	AP2009/634/09	Audit of contingent-owned equipment in UNAMID. The Mission's Contingent-Owned Equipment/Memorandum of Understanding Management Review Board was not functional, thereby impacting on the effectiveness of the contingent-owned equipment programme
	AP2009/634/12	Receiving and inspection function in UNAMID. Internal controls over the receiving and inspection function were ineffective, resulting in a backlog of 2,200 containers awaiting receiving and inspection, goods being distributed and used prior to inspection, and the location of assets valued at millions of dollars not being known
	AP2010/634/01	Audit of within-mission travel in UNAMID. Cost control procedures were not implemented for within-mission travel and travel to attend Doha peace negotiations
	AP2010/634/02	Audit of air safety operations in UNAMID. Additional measures are required to ensure that air safety risks and hazards are systematically identified and action is taken to mitigate or eliminate them in a timely manner

Entity	*Assignment number*	*Subject*
	AP2010/634/03	Audit of air operations in UNAMID. Internal controls over air operations could be significantly improved by prioritizing the implementation of the aviation operational risk management policy, aviation quality assurance and standardization programmes
	AP2010/634/06	Audit of freight forwarding in UNAMID. Internal controls over freight forwarding were weak and not implemented as intended
	AP2010/634/08	Audit of fleet management in UNAMID. Internal controls over ground transportation assets needed to be strengthened to ensure more efficient use of resources
	AP2010/634/10	Audit of HIV/AIDS Unit operations in UNAMID. Inadequate staffing levels and ineffective reporting lines have hindered the HIV/AIDS Unit in fully implementing its mandate
	AP2010/634/11	Audit of water and environmental protection operations in UNAMID. Delays in obtaining land and drilling boreholes hindered water self-sufficiency and increased costs, and environmental risks were insufficiently mitigated
UNCC	AE2010/820/01	Audit of United Nations Compensation Commission claims payment. Although the United Nations Compensation Commission has adequate control mechanisms for the disbursement of awards, part of the Iraq oil sales remain undeposited in the oil proceeds receipts account, resulting in a revenue shortfall
UNCCD	AA2010/242/01	Audit of substantive programmes of the United Nations Convention to Combat Desertification. The United Nations Convention to Combat Desertification has adequate controls over substantive programmes, but needs to develop a resource mobilization strategy and improve reporting on its financial and staffing constraints to the Conference of Parties
UNCTAD	IED-10-010	Inspection of the programme-level monitoring and evaluation of UNCTAD
UNDEF	AN2010/524/01	Audit of the United Nations Democracy Fund. The sustainability of the United Nations Democracy Fund is critical to promoting democracy as a global value
	AN2010/545/01	Audit of the International Research and Training Institute for the Advancement of Women. The International Research and Training Institute for the Advancement of Women suffers from an acute leadership vacuum, which adversely affected its ability to effectively participate in the consultations pertaining to its consolidation into the new composite gender entity and implement change management

Entity	*Assignment number*	*Subject*
UNDOF	AP2010/670/01	Audit of the internal governance of UNDOF. The organizational structure and reporting lines were not adequate, creating excessive demands on the Force Commander regarding the day-to-day management of the Mission
UNEP	0469/08	Investigation report on possible misconduct by staff members of UNEP
	0079/09	Investigation report on the misuse of information and communications technology resources by a staff member at UNEP
	0188/10	Investigation report on fraudulent goods supplied by a United Nations vendor
	AA2009/220/01	Audit of internal governance in UNEP. The implementation of the medium-term strategy will be accomplished through the provision of adequate resources to strengthen consultation, coordination and accountability mechanisms in UNEP
	AA2010/220/03	Audit of UNEP project delivery arrangements via partnerships. Enhancing the regulatory framework for the management of partnerships, the centralization of partner selection and the financial monitoring of projects are key to successful partnerships
UNFCCC	AA2010/241/02	Audit of the recruitment and training of staff at the United Nations Framework Convention on Climate Change secretariat. The United Nations Framework Convention on Climate Change secretariat needs to develop and implement policies and strategies on human resources and ensure that the recruitment of consultants is competitive and transparent
UNFICYP	AP2010/654/01	Audit of catering services in UNFICYP. Ineffective internal controls over the management of catering contracts increased the risk that the interests of the Mission were not properly safeguarded
UN-Habitat	0044/09	Investigation report on forgery and misrepresentation by a staff member at UN-Habitat
	0165/09	Investigation report on possible fraud by a staff member in UN-Habitat
	AA2009/250/02	Audit of internal governance in UN-Habitat. UN-Habitat has made progress in implementing the medium-term strategic and institutional plan, the finalization of strategic and operational delivery and the accomplishment of organizational goals
	AA2010/250/02	Audit of the UN-Habitat Somalia Programme. UN-Habitat operates the Somalia Programme in an unstable environment and needs to improve the assessment of donor satisfaction and compliance with security standards

Entity	*Assignment number*	*Subject*
	AA2010/250/03	Audit of partnership/cooperation agreements in UN-Habitat. UN-Habitat needs to improve its internal controls over the selection, monitoring and implementation of cooperation agreements with other entities
UNHCR	AR2010/110/01	Audit of UNHCR operations in the Central African Republic. The effectiveness of programme delivery could be enhanced by improvements in the strategy for internally displaced persons, performance monitoring and staff training
	AR2010/111/01	UNHCR operations in Sierra Leone. Arrangements for planning and estimating resource requirements for downsizing operations could be improved through the introduction of liquidation guidelines to ensure that all activities are identified and that the correct level of resources is available for handling specific tasks
	AR2010/111/03	Audit of UNHCR operations in Côte d'Ivoire. Inadequate arrangements to safeguard the continuity of operations adversely affected programme delivery and activities
	AR2010/111/04	Audit of UNHCR operations in Benin. Strengthening administrative arrangements would improve the delivery of programme activities
	AR2010/131/02	Audit of UNHCR operations in Algeria. Internal controls were in place to manage the risks related to programme and project management, supply management and security, but there are opportunities to improve their effectiveness
	AR2010/131/05	Audit of UNHCR operations in Jordan: Iraqi situation. Internal control weaknesses resulted in inefficiencies and ineffectiveness in programme planning, implementation and monitoring
	AR2010/162/02	Audit of UNHCR arrangements for managing and administering staff between assignments. UNHCR needs to develop a formal strategy to address the challenge of a high and potentially increasing number of staff between assignments
	AR2010/163/01	UNHCR arrangements for managing international procurement-related activities. The operational efficiency and effectiveness of international procurement-related activities could be improved by strengthening existing arrangements
	AR2010/166/02	Audit of the Focus system. UNHCR should ensure that the implementation of the Focus system meets user requirements and is managed in a cost-effective manner
	AT2010/166/01	Audit of UNHCR information and communications technology services contracted to third parties. Not available; memorandum report
	AR2010/112/01	Controls over procurement management need improvement

Entity	*Assignment number*	*Subject*
	AR2010/131/03	The strengthening of strategic planning would enable the UNHCR representation in Yemen to implement its project activities more effectively
	AR2010/141/01	There is a need to strengthen arrangements for the selection, monitoring and capacity-building of implementing partners to support the policy of increased use of implementing partners and to mitigate the risk associated with the use of a large number of national implementing partners in programmes
	AR2010/160/01	UNHCR needs to define policies and improve controls over their development and dissemination to support its decentralization of operations involving a large field-based workforce
UNICEF	0018/10	Investigation report on the possible misuse of communications facilities and equipment
UNICRI	0094/10	Investigation report on the misrepresentation of an official title by a former staff member at the United Nations Interregional Crime and Justice Research Institute
	0121/10	Investigation report on procurement irregularities and favouritism by a staff member at the United Nations Interregional Crime and Justice Research Institute
UNIDIR	AN2010/385/01	Audit of governance in UNIDIR. Despite being a United Nations entity, UNIDIR lacked governance mechanisms to ensure accountability and compliance with the Regulations and Rules of the United Nations
UNIFIL	AP2009/672/03	Audit of safety and security operations in UNIFIL
	AP2010/672/01	Audit of travel operations in UNIFIL. Internal controls over travel operations in UNIFIL were adequate
	AP2010/672/02	Audit of movement control operations in UNIFIL. Internal controls were generally in place, but not always implemented effectively; cargo was loaded on aircraft without X-ray screening, and there was a need for more efficient use of air shuttle services
	AP2010/672/03	Audit of medical services in UNIFIL. The provision of medical support services in UNIFIL was generally adequate, but there were opportunities for further improvement
	AP2010/672/05	Audit of the Conduct and Discipline Team in UNIFIL and its regional responsibilities. The Conduct and Discipline Team was unable to exercise its regional responsibilities owing to the lack of clear terms of reference

Entity	*Assignment number*	*Subject*
	AT2010/672/01	Audit of information and communications technology governance, security, business continuity and disaster recovery in UNIFIL. UNIFIL needs to strengthen its information and communications technology governance framework with policies and procedures for information security management and information and communications technology operations
UNJSPF	AS2009/801/02	The United Nations Joint Staff Pension Fund. Investment Management Division's contract management. The Investment Management Division needs to align its procurement strategy with its business strategy to ensure that its operational needs are fully met
	AS2010/800/02	Audit of United Nations Joint Staff Pension Fund performance management. The United Nations Joint Staff Pension Fund would benefit from tracking and reporting the actual progress of projects against the plan, developing formal project charters and plans, and performing an impact analysis upon the conclusion of each project
	AS2010/800/03	Audit of United Nations Joint Staff Pension Fund disability benefits. The United Nations Joint Staff Pension Fund's administration of disability benefits is efficient and well controlled and promotes compliance with relevant regulations and rules, but can be enhanced by improving management reporting and data quality controls
	AS2010/801/02	Administration and project management in the United Nations Joint Staff Pension Fund's Investment Management Division Information Systems Section. The Investment Management Division needs to implement formal frameworks for project and performance management, and improve its control mechanisms for managing suppliers and monitoring service levels
UNJSPF (IMD)	AS2010/801/04	Audit of the implementation of the Charles River trade order management system in the United Nations Joint Staff Pension Fund. The implementation of Charles River has automated trade order management, but additional measures are needed to ensure adequate levels of security, support and integration
	AS2010/801/05	UNJSPF should use the Investment Management Division for the investment of all available funds and currency transactions
UNMIK	AP2010/650/01	Audit of claims relating to UNMIK premises. A policy directive is required to facilitate claims management and mitigate the risk of excessive liabilities
	AP2010/650/02	Audit of reconfiguration structures for mandate implementation and accountability in UNMIK. The mandate implementation plan should be developed in line with the reconfigured functions and linked with other planning documents and strategies

Entity	*Assignment number*	*Subject*
UNMIL	AP2009/626/03	Audit of supply-chain management in UNMIL. The Mission made commendable efforts in establishing a supply-chain map, but there was a need for further improvement in managing the supply chain
	AP2009/626/09	Audit of occupational safety and health in UNMIL. While the establishment of a dedicated unit for occupational safety and health in the Mission is commendable, additional measures and clarity on its roles and responsibilities are needed
	AP2009/626/14	Management of engineering assets in UNMIL. The reliability of inventory records was questionable, and stock levels of certain high-value assets were excessive
	AP2010/626/01	Audit of the procurement of local contracts in UNMIL. Established policies and procedures were not always complied with in the procurement of local contracts
	AP2010/626/02	Audit of safety and security in UNMIL
	AP2010/626/03	Audit of public information services in UNMIL. There was no mission-specific public information strategy, resulting in unclear direction and the risk of ineffective public information programmes
	AP2010/626/04	Audit of warehouse operations in UNMIL. Internal controls over warehouse activities needed improvement. Annual physical inventories were not done, and discrepancies found during periodic stick counts were not followed up and adjusted accordingly in the records
	AT2009/626/01	Audit of information and communications technology governance and security management in UNMIL. UNMIL generally had good internal controls, but could strengthen them in the areas of information and communications technology service delivery, security of operations and governance of infrastructure
UNMIS	AP2010/632/01	Audit of medical stores and equipment in UNMIS. In the absence of compensatory controls, the non-compliance with the guidelines issued by the Department of Peacekeeping Operations/Department of Field Support for managing drugs and medical equipment created serious health and environmental risks
	AP2010/632/03	Audit of quick-impact projects in UNMIS. The Mission has improved the implementation rate of quick-impact projects over the past year; however, improved compliance with the Department of Peacekeeping Operations policy directive on quick-impact projects and the Mission's administrative instruction would ensure that the objectives of the projects were achieved in a timely manner
	AP2010/632/06	Audit of security in UNMIS

Entity	*Assignment number*	*Subject*
	AP2010/632/08	Audit of facilities management in UNMIS. The Mission needs to enhance its capacity to manage facilities, including establishing a preventive maintenance programme
	AP2010/632/09	The disarmament, demobilization and reintegration programme in UNMIS. Lack of clear direction and management oversight, and lack of coordination between UNMIS and UNDP, may impact the results of the disarmament, demobilization and reintegration programme in UNMIS
	AP2010/632/10	Audit of aviation safety in UNMIS. Measures were generally in place to mitigate aviation safety risks
	AP2010/632/11	Audit of inventory management in UNMIS. Non-expendable and expendable properties were not adequately monitored, controlled and safeguarded
	AP2010/632/12	Audit of business continuity and crisis management in UNMIS. The Mission had taken steps to ensure that it could respond to crisis, but was not adequately prepared to maintain continuity of critical business processes if a disruptive event should occur
	AP2010/632/13	Audit of within-mission travel in UNMIS. Procedures and guidelines on within-mission travel were adequate but not fully complied with. This, together with underbudgeting for daily subsistence allowance payable to civil staff under the human resources reform, resulted in overexpenditure of $1.8 million for the year 2009/10
	AP2010/632/14	Audit of HIV/AIDS awareness and prevention programme in UNMIS. HIV/AIDS activities were well integrated into the Mission's programmes; however, the HIV/AIDS Unit's capacity was limited, impacting on the level of training provided
UNMIT	AP2010/682/01	Audit of medical services in UNMIT. The provision of medical support services in UNMIT was generally adequate
	AP2010/682/02	Audit of administrative management of public information services in UNMIT
	AP2010/682/03	Audit of transitional police support programme in UNMIT
UNMOGIP	AP2010/600/02	Audit of UNMOGIP. UNMOGIP had achieved its outputs as outlined in its results-based-budgeting framework; however, the restrictions imposed by the host countries have impeded the effective implementation of its mandate
UNOCI	AP2009/640/03	Audit of the requisitioning process in UNOCI. Requisitioners need to gain a better understanding of their roles and responsibilities in order to perform their functions more effectively
	AP2009/640/06	Audit of contract management in UNOCI. The mission did not have adequate procedures to ensure that contracts sufficiently protect the interests of the Organization and are complied with

Entity	*Assignment number*	*Subject*
	AP2010/640/01	Audit of the Conduct and Discipline Unit in UNOCI. The mission has taken some measures to prevent and handle possible misconduct; however, inadequate staffing impacted on the effectiveness of the Conduct and Discipline Team in carrying out its functions
	AP2010/640/04	Audit of UNOCI emergency preparedness leading up to and during the presidential election
	AT2010/640/01	Audit of information and communications technology governance and security management in UNOCI. Additional controls are required to ensure the effectiveness and security of information and communications technology operations
UNODC	AE2009/366/03	Audit of the United Nations Office on Drugs and Crime Regional Office for East Africa. Weaknesses in the planning and monitoring of projects and oversight arrangements increased the risks of delays in implementing programmes and projects
	AE2010/360/01	Audit of governance and funding arrangements in the United Nations Office on Drugs and Crime. Governance arrangements and funding mechanisms do not fully support the programme of work at the United Nations Office on Drugs and Crime
	AE2010/366/01	Audit of the United Nations Office on Drugs and Crime Regional Office for Mexico, Central America and the Caribbean. The United Nations Office on Drugs and Crime needs to strengthen programme and project monitoring and asset management, and clarify the organizational structure of the regional offices in Mexico and Panama
UNOG	AE2010/311/03	Audit of vendor database management in the United Nations Office at Geneva. Efforts are needed to ensure compliance with the provisions of the Procurement Manual in respect of provisionally registered vendors
	IED-10-007	Report of OIOS on the United Nations Office at Geneva. The United Nations Office at Geneva is striving to improve service delivery, but client satisfaction with many services remains low
UNON	0244/10	Investigation report on the unauthorized use of the assets of the Organization by a staff member at the United Nations Office at Nairobi
	AA2009/211/02	Audit of procurement activities at the United Nations Office at Nairobi. There is a need to review and clarify the delegation of authority with respect to procurement on behalf of UNEP and UN-Habitat and improve adherence to procurement rules and procedures
	AA2010/210/01	Audit of internal governance in the United Nations Office at Nairobi. There are opportunities for the United Nations Office at Nairobi to improve its internal governance processes to more effectively achieve its goals and objectives

Entity	Assignment number	Subject
	AT2010/211/01	Audit of information and communications technology governance and security management in the United Nations Office at Nairobi. Although the United Nations Office at Nairobi has established a number of good practices for managing its information and communications technology resources, improvements are required in order to strengthen its control mechanisms, with particular attention to governance and information security
UNOV	IED-10-008	Report of OIOS on the United Nations Office at Vienna. Most clients are satisfied overall with the services provided by the United Nations Office at Vienna, but the Office faces risks to its continued effectiveness
UNRCCA	0125/11	Investigation report on the criminal conviction of a staff member assigned to the United Nations Regional Centre for Preventive Diplomacy for Central Asia
UNSOA	AP2010/638/03	Audit of UNSOA logistical support for AMISOM on food rations. Considering the logistical constraints, rations were being delivered in a timely manner and in the quantities requested. However, additional equipment and facilities for the storage and preparation of rations were necessary
	AP2010/638/05	Audit of UNSOA. Vacancy rates were initially high owing to unfavourable conditions of service for staff serving in UNSOA, and there were delays in the recruitment process owing to a lack of experienced staff
	AP2010/638/07	Audit of UNSOA remote management of activities in Mogadishu. UNSOA has been providing logistical support to AMISOM as mandated. However, there was a need to strengthen risk management and strategic planning mechanisms, the regulatory framework and oversight mechanisms to ensure the effective provision of logistical support by UNSOA and proper accountability on the use of the support by AMISOM
UNTSO	AP2010/674/01	Audit of human resources and travel management in UNTSO. Internal controls were generally satisfactory, but the documentation of recruitment decisions needed to be strengthened

C. Closure reports[7]

Entity	Assignment number	Subject
DESA	0157/10	Conduct of a representative of a non-governmental organization
DFS	0048/05	Reported entitlement fraud by a staff member at UNMIL
	0330/05	Possible solicitation of a bribe from a vendor by a staff member at UNMIL
	0454/05	Possible procurement irregularities by a staff member at MINUSTAH
	0606/05	Procurement violations by a staff member at UNMIL
	0448/06	Possible procurement irregularities at UNMIL
	0503/06	Reported solicitation of a bribe from a vendor by a staff member at UNMIL
	0107/07	Reported mismanagement and abuse of authority implicating a staff member in UNMIS
	0226/07	Sexual exploitation by a staff member at the former MONUC
	0240/07	Sexual harassment implicating a staff member at the former MONUC
	0364/07	Unauthorized e-mail account access by staff members at UNMIL
	0413/07	Possible violations of Mission standard operating procedures on security and abuse of authority at UNMIS
	0586/07	Reported misconduct by staff members at the former MONUC
	0011/08	Reported misconduct by a staff member at UNAMI
	0345/08	Fraud by a staff member at the former MONUC
	0389/08	Procurement irregularities in UNAMI
	0511/08	Sexual abuse by a peacekeeper at the former MONUC
	0022/09, 0010/09	Misuse of telephone PIN codes by a staff member in UNMIS
	0055/09	Procurement irregularities by a staff member at the former MONUC
	0077/09	Possible procurement irregularities by a staff member at UNIFIL
	0135/09	Procurement irregularities by a staff member at UNOTIL
	0158/09	Misappropriation and transfer of United Nations funds by a staff member at the former BONUCA
	0169/09	Unauthorized loans and donations of medical supplies by a staff member at UNMIL

[7] Closure reports are issued when OIOS investigations do not substantiate reported matters.

Entity	Assignment number	Subject
	0246/09	Alleged bid-rigging in UNFICYP
	0254/09	Sexual exploitation and abuse of minors by a staff member formerly assigned to UNMIL
	0292/09	Possible misuse of United Nations assets by a staff member at MINURCAT
	0350/09, 0533/10	Sexual exploitation and abuse by a staff member at UNMIL
	0374/09	Medical claims fraud by a United Nations Volunteer at UNMIK
	0513/09	Theft by a former United Nations police officer at MINUSTAH
	0562/09	Possible bribery implicating a staff member at UNAMID
	0563/09	Misuse of information and communications technology resources by a staff member at UNAMI
	0606/09	Possible entitlement fraud by a staff member at UNMIL
	0103/10	Misuse of office by a staff member in the former BINUB
	0120/10	Possible harassment by a staff member at UNFICYP
	0207/10, 0208/10	Possible non-compliance with the Financial Regulations and Rules of the United Nations by a staff member at UNMIL
	0224/10	Missing personal effects of United Nations staff members who died during the January 2011 earthquake in Haiti
	0294/10	Possible extortion by a staff member at UNMIL
	0297/10	Bribery by a staff member at UNMIS
	0311/10	Reported misconduct by a staff member at MINURSO
	0448/10	Allegations of sexual exploitation and abuse involving a staff member in UNMIS
	0503/10	Possible procurement irregularities at MONUSCO
	0025/11	Theft of fuel by staff members at UNMIL
	0229/11	Possible misconduct by a United Nations police officer in UNMIS
	0485/08 (Parts A and B)	Reported attempted sexual exploitation and abuse of a minor by a staff member in UNMIL
DGACM	0157/08	Fraudulent activities by a staff member at United Nations Headquarters
DM	0732/05	Possible procurement irregularities at United Nations Headquarters
ECA	0926/06	Harassment and intimidation implicating a former staff member of ECA
ESCAP	0250/10	Misrepresentation by a former staff member at ESCAP

Entity	Assignment number	Subject
International Criminal Tribunal for Rwanda	0393/10	Sexual abuse of a minor by a staff member at the International Criminal Tribunal for Rwanda
OCHA	0342/10	Domestic violence incident and illegal alcohol consumption by a staff member at the Office for the Coordination of Humanitarian Affairs
OIOS	0312/10	Leak of information by a staff member at OIOS
UNCTAD	0351/09	Threatening letter sent to a permanent mission to the United Nations Office at Geneva
	0429/09	Death threat made against a staff member in UNCTAD
	0476/10	Anonymous letter sent to a permanent mission to the United Nations Office at Geneva
UNEP	0438/06	Recruitment irregularities by staff members at UNEP
	0438/08	Duplicate cash advance payment by a staff member at UNEP
	0469/09	Fraudulent medical claims by a staff member at UNEP
UNON	0265/07	Misappropriation of building materials by a contractor at the United Nations Office at Nairobi
	0585/08	Fraudulent payments related to inter-office voucher charges
	0054/09	Possible misconduct by a staff member at the United Nations Office at Nairobi
UNON/UNOG	0367/10	Theft of United Nations Federal Credit Union credit cards at the United Nations Office at Nairobi
UNRWA	0277/10	Reported irregular recruitment at UNRWA